

**Questionnaire for Legal Entities**

The data in this Questionnaire are collected on the basis of the Anti-Money Laundering and Counter-Terrorism Financing Act (Narodne Novine, the Official Gazette of the Republic of Croatia Nos. 108/2017, 39/2019 and 151/2022) and accompanying secondary legislation. Hrvatska banka za obnovu i razvitak will use the collected data for the purpose of implementing the measures of customer due diligence.

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| **Data on business entity** |
| Name of business entity and legal form: |
|   |
| OIB (company identification number): | MB[[1]](#footnote-2) |
|   |   |
| Address (headquarters): |
|   |
| Place and country of headquarters: |
|   |

|  |  |
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| **Data on authorised persons** | **Person 1** |
| Name and family name: | Date of birth: |
|   |   |
| OIB (personal identification number): | Function: „Choose“  |
|   | Other function:  |
| Address of residence: | Name and number of identification document: |
|   |   |
| Citizenship(s): | Issuer of identification document and country of issuance: |
|   |   |
| Information on political exposure[[2]](#footnote-3): | Are you a family member of a PEP3? YES [ ]  NO [ ]  |
| Are you a politically exposed person (PEP)[[3]](#footnote-4)? YES [ ]  NO [ ]  | Are you a close associate of a PEP3? YES [ ]  NO [ ]  |

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| **Data on authorised persons** | **Person 2** |
| Name and family name: | Date of birth: |
|   |   |
| OIB (personal identification number): | Function: „Choose“  |
|   | Other function:  |
| Address of residence: | Name and number of identification document: |
|   |   |
| Citizenship(s): | Issuer of identification document and country of issuance: |
|   |   |
| Information on political exposure2: | Are you a family member of a PEP3? YES [ ]  NO [ ]  |
| Are you a politically exposed person (PEP)3? YES [ ]  NO [ ]  | Are you a close associate of a PEP3? YES [ ]  NO [ ]  |

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|  | **Person 3** |
| Name and family name: | Date of birth: |
|   |   |
| OIB (personal identification number): | Function: „Choose“  |
|   | Other function:  |
| Address of residence: | Name and number of identification document: |
|   |   |
| Citizenship(s): | Issuer of identification document and country of issuance: |
|   |   |
| Information on political exposure2: | Are you a family member of a PEP3? YES [ ]  NO [ ]  |
| Are you a politically exposed person (PEP)3? YES [ ]  NO [ ]  | Are you a close associate of a PEP3? YES [ ]  NO [ ]  |

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| **Data on authorised persons** | **Person 4** |
| Name and family name: | Date of birth: |
|   |   |
| OIB (personal identification number): | Function: „Choose“  |
|   | Other function:  |
| Address of residence: | Name and number of identification document: |
|   |   |
| Citizenship(s): | Issuer of identification document and country of issuance: |
|   |   |
| Information on political exposure2: | Are you a family member of a PEP3? YES [ ]  NO [ ]  |
| Are you a politically exposed person (PEP)3? YES [ ]  NO [ ]  | Are you a close associate of a PEP3? YES [ ]  NO [ ]  |

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| **Data on authorised persons** | **Person 5** |
| Name and family name: | Date of birth: |
|   |   |
| OIB (personal identification number): | Function: „Choose“  |
|   | Other function:  |
| Address of residence: | Name and number of identification document: |
|   |   |
| Citizenship(s): | Issuer of identification document and country of issuance: |
|   |   |
| Information on political exposure2: | Are you a family member of a PEP3? YES [ ]  NO [ ]  |
| Are you a politically exposed person (PEP)3? YES [ ]  NO [ ]  | Are you a close associate of a PEP3? YES [ ]  NO [ ]  |

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| **Data on authorised persons** | **Person 6** |
| Name and family name: | Date of birth: |
|   |   |
| OIB (personal identification number): | Function: „Choose“  |
|   | Other function:  |
| Address of residence: | Name and number of identification document: |
|   |   |
| Citizenship(s): | Issuer of identification document and country of issuance: |
|   |   |
| Information on political exposure2: | Are you a family member of a PEP3? YES [ ]  NO [ ]  |
| Are you a politically exposed person (PEP)3? YES [ ]  NO [ ]  | Are you a close associate of a PEP3? YES [ ]  NO [ ]  |

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| **Information on main activity (business entity’s code of activity – NKD (National Classification of Business Activities)[[4]](#footnote-5)** |
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| **For which purpose do you establish a business relationship with HBOR (purpose and envisaged nature of business relationship)?** |
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| **Does the company maintain relevant business and/or ownership relations with legal entities outside EU?** |
| YES [ ]  NO [ ]  |
| If YES, please name the countries in which the company maintains relevant business and/or ownership relations.  |

**By signing this Questionnaire, I confirm that all the data contained herein are true and I authorise HBOR to verify all the data given. I undertake to inform HBOR without delay should any change occur relating to the data given above.**

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| **Data on key business partners** |
| Key suppliers[[5]](#footnote-6): |  |
| 1. Name:
 | Country:  |
|  |  |
| 1. Name:
 | Country:  |
|  |  |
| 1. Name:
 | Country:  |
|  |  |

|  |  |
| --- | --- |
| Key clients[[6]](#footnote-7): |  |
| 1. Name:
 | Country:  |
|  |  |
| 1. Name:
 | Country:  |
|  |  |
| 1. Name:
 | Country:  |
|  |  |

**The company has no key business partners**

Newly founded company [ ]

Area of business of the company has no key business partners [ ]

Other reasons (please specify)

|  |  |
| --- | --- |
| **Place and date** | **Name and family name of the person authorised to represent and signature** |
|   |   |

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| **Comment of HBOR’s employee** |
|   |

**Appendix**

**A politically exposed person (PEP)** is any natural person who has been, or was in the past 12 months, entrusted with any of following public functions:

* president of a country or prime minister, minister, deputy minister, assistant minister/directorate-general or state secretary,
* elected member of a legislative body,
* member of a political party management body,
* judge of supreme court or constitutional court or other high judiciary official against whose decisions, except in exceptional cases, the right to appeal does not apply,
* member of court of auditors,
* member of a central bank council,
* ambassador, chargé d'affaires or high-ranking officer of the armed forces,
* member of the Management Board or the Supervisory Board of the State-owned enterprise or enterprise owned by a unit of local or regional self-government or a person performing an equivalent function,
* manager, deputy manager, member of a committee or person performing an equivalent function in an international organisation and
* head of municipality, mayor, county prefect or his/her deputy elected based on the act regulating local elections in the Republic of Croatia.

**Close asociate of politically exposed person (PEP)** is any natural person who:

* who are known to have joint beneficial ownership of legal entities or legal arrangements, or any other close business relations, with a PEP or
* who is a sole beneficial owner of a legal entity or a legal arrangement which is known to have been set up for the benefit of a PEP.

**Family member of politically exposed person (PEP)** is considered to be:

* a spouse of a PEP or a person with whom a PEP lives in a cohabitation or a person with whom a PEP is in a civil partnership or a person with whom a PEP is in an informal civil partnership,
* children and their spouses or persons with whom children of a PEP live in a cohabitation or persons with whom the children of a PEP are in a civil partnership or persons with whom the children of a PEP are in an informal civil partnership and
* parents of politically exposed person.
1. MB – registration number of legal entity. [↑](#footnote-ref-2)
2. If client answers Yes to any of the questions on political exposure, please fill in Form 8 [↑](#footnote-ref-3)
3. Definition of politically exposed person and its family member and close associateis given in Appendix to this Form [↑](#footnote-ref-4)
4. Code of activity from the Notification on Classification of Business Entity. If the main activity code is different from the investment activity code, the investment activity code must also be entered. [↑](#footnote-ref-5)
5. HBOR's client states its 3 largest suppliers. If the client deals with a supplier with which it makes more than 90% of all purchases, it is sufficient to indicate only that supplier. [↑](#footnote-ref-6)
6. HBOR's client states its 3 largest clients. If the client deals with a client with which it generates over 90% of sales, it is sufficient to indicate only that client. [↑](#footnote-ref-7)